

10-10-01

A

FRISHAUF, HOLTZ, GOODMAN, LANGER & CHICK, P.C.
ATTORNEYS AT LAW

767 THIRD AVENUE, NEW YORK, N.Y. 10017-2023

LEONARD HOLTZ
HERBERT GOODMAN
WILLIAM R. WOODWARD (1914-1994)
THOMAS LANGER
MARSHALL J. CHICK
RICHARD S. BARTH
DOUGLAS HOLTZ
ROBERT P. MICHAL
TELEPHONE: (212) 319-4900
FACSIMILE: (212) 319-5101



01933

PATENT TRADEMARK OFFICE

Hon. Commissioner of Patents & Trademarks
Washington, D.C. 20231

Express Mail Mailing Label
No.: EL 874 117 051 US

Date of Deposit: October 9, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

Barbara Villani

Attorney Docket No. 01634/LH

Pursuant to 37 CFR 1.53(b), transmitted herewith for filing is the patent application of

Inventor(s): Kazuishi MITANI
Yasuhiro SAITO
Tatsuro UMEYAMA

Title: "GLASS SUBSTRATE FOR INFORMATION RECORDING MEDIA AND MANUFACTURING METHOD THEREOF"

Priority Claim (35 U.S.C. 119) is made, based upon:

Japan No. 2000-310477 filed October 11, 2000

Enclosed herewith are:

- ☒ Specification (Description, Claims, Abstract): Pages 1 - 32 ; Number of claims 1 - 12
- ☒ Declaration and Power of Attorney ☒ executed; ☐ unexecuted (supplied for information purposes)
- ☒ 4 Sheets of drawings, Figures 1A - 4 ☒ Formal ☐ Informal
- ☒ Assignment and "Patents" Recordation Form Cover Sheet (PTO-1595) AND \$40. RECORDATION FEE.

ASSIGNMENT INFORMATION FOR PUBLICATION:

NIPPON SHEET GLASS CO., LTD.
7-28, Kitahama 4-chome, Chuo-ku,
Osaka-shi, Osaka 541-8559, JAPAN

- ☒ Certified copy (ies) of priority document(s) identified above
- ☐ Information Disclosure Statement; ☐ Form PTO/SB/08A
- ☒ Preliminary Amendment (to eliminate multiple dependent claims)
- ☐ Verified Statement(s) Claiming Small Entity Status
- ☒ Change of Correspondence Address (Form PTO/SB/122)
- ☒ Receipt Postcard

| | Number Filed | | Number Extra | Rate | Calculations |
|---------------------------|--------------|-------|--------------|-----------------------------|--------------|
| Total Claims | 30 | -20 = | 10 | x \$18.00 = | \$ 180.00 |
| Independent Claims | 1 | -3 = | 0 | x \$84.00 = | \$ |
| MULTIPLE DEPENDENT CLAIMS | | | | + \$280.00 = | \$ |
| | | | | BASIC FEE | \$ 740.00 |
| | | | | Total of above Calculations | \$ 920.00 |

To the extent not tendered by check, authorization is given to charge any fees under 37 CFR 1.16 and 1.17 during pendency of the application, or to credit any overpayment, to Deposit Account No. 06-1378. Duplicate copy of this letter is enclosed.

FRISHAUF, HOLTZ, GOODMAN, LANGER & CHICK, P.C.

By: LEONARD HOLTZ
Reg. No. 22,974

LH:bv

12/00

PTO
09/19/01
10/09/01